

NASAA 2021 Fintech and Cybersecurity Symposium

Speaker Guide

December 14, 2021



Jillian Lazar
NASAA FinTech Committee Chair
Director of Investor Protection
Delaware Investor Protection Unit

Jillian Lazar is a Deputy Attorney General and the Director of Investor Protection at the Delaware Department of Justice. Jill routinely advises on a number of issues including securities regulation, financial services policy, compliance, and developments in fintech and digital assets. She is the chair of the NASAA FinTech Committee, the co-chair of the NASAA Investor Education Section, and a participant on the NASAA Cybersecurity and CRD Steering Committees. In 2020, she served as an Affirmative Leaders Fellow with the Public Rights Project.

Jill earned her J.D. from Fordham University School of Law and her BA from Ithaca College.



Melanie Senter Lubin
NASAA President
Securities Commissioner
Maryland Division of Securities

Melanie Senter Lubin joined the Maryland Division of Securities within the Office of the Maryland Attorney General early in her career and was appointed Maryland Securities Commissioner in 1998. Throughout her years as a Maryland securities regulator, Commissioner Lubin has also held numerous leadership positions with the North American Securities Administrators Association (NASAA). Commissioner Lubin began serving her term as President of NASAA in September 2021. Prior to her NASAA presidency, Lubin has served on the NASAA Board of Directors as a Director, Secretary, and Treasurer, and has chaired the organization's Central Registration Depository/Investment Adviser Registration Depository Steering Committee, as well as the Investment Adviser Section Committee and the Corporation Finance Section Committee. Commissioner Lubin has also served on various NASAA committees including the Federal Legislation Committee, Senior Issues/Diminished Capacity Committee, Electronic Filing Depository Steering Committee, and Regulation Best Interest Implementation Committee.

In 2015, Commissioner Lubin was appointed by NASAA's Board to serve as the association's representative to the Financial Stability Oversight Council. She has also represented NASAA in testimony before the U.S. House Financial Services Committee. In these roles, she has helped shape NASAA's approach to a broad range of regulatory issues important to America's capital markets and retail investors. Commissioner Lubin was the first recipient of NASAA's Investment Adviser Distinguished Service Award and in May 2006 received NASAA's Blue Sky Cube, the top honor that the association

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awards. Commissioner Lubin has published articles in The Investment Lawyer, the Law Library Journal, MICPEL securities law course books, the ABA's State Regulation of Securities Newsletter, and other legal and business publications. Commissioner Lubin is a frequent speaker at local and national business and professional meetings, has appeared on CNN, Wall Street Week, and Fox News on states' regulation of investment advisers and financial planners, and has testified as an expert in several cases involving state regulation of securities. Commissioner Lubin is a graduate of the University of Maryland, and she earned her law degree from the University of Baltimore School of Law.



Jonathan Rajewski
Managing Director of Digital Forensics and Incident Response
Stroz Friedberg

Jonathan T. Rajewski, MS, CCE, EnCe, CISSP, CFE, TJFC is the Founder & Director of the Senator Patrick Leahy Center for Digital Investigation at Champlain College in Burlington, Vermont. He is also an Associate Professor of Cyber Security and Digital Forensics. Jonathan leads research projects and cyber security / digital forensic investigations for corporate, nonprofit and governmental entities. He has a passion for helping others understand extremely complex technical topics and cybercrime investigations which compliments his abilities to teach, empower and advise organizations on how to properly approach the ever-evolving threats on the Internet.



Mr. Rajewski serves on the Governor's Cybersecurity Advisory Team in Vermont. He was the founding Chairman of the Board of Directors for BTVignite, which is an independent nonprofit dedicated to fostering creation of the next generation of the Internet, sparking new business opportunities and profoundly impacting how Americans work, live, learn and play. Currently Mr. Rajewski is an active member of the board.

He has presented on the TEDx stage in Buffalo, New York, been awarded the 4 under 40 award from his undergraduate alma mater, Hilbert College (Hamburg, New York) and received the C. Bader Alumni Leadership Award from his graduate alma mater, Champlain College.

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	<p>Keith Bettencourt, CAMS, CFE Examination Manager, CyberTech FINRA</p> <p>Dynamic Compliance executive with CCO experience focused on making Compliance “uncomplicated” for business partners. Leading a high-performing Compliance and Licensing program in a complex regulatory environment. Consistently promoted in performance-based organizations. Skilled in being able to see “around the corner” and mitigate Compliance, supervision and regulatory risks associated with current and future business priorities while communicating critical information and requirements simply and concisely across diverse business areas. An Agile mindset enables quick pivoting with changing priorities.</p>
	<p>Marc Boiron Partner dYdX</p> <p>Marc Boiron is General Counsel at dYdX where I handle all legal matters on behalf of dYdX. Prior to joining dYdX, Marc was a partner in the blockchain and cryptocurrency and financial services groups of Manatt, Phelps & Phillips, LLP. His practice focused on blockchain and digital assets, and his clients operate businesses across a broad range of industries that use innovative technologies to disrupt traditional businesses. He also advised venture funds making investments in blockchain companies and digital assets.</p> <p>Prior to joining Manatt, Marc founded and led a blockchain-focused practice at a large California-based law firm, where he built a practice advising companies on a breadth of issues involving digital assets, including token distributions, fund portfolio investments, exchanges, custody and mining. He also advised early-stage companies on traditional financings and crowdfunding.</p> <p>Marc a frequent speaker on issues relating to blockchain technology, mainly educating on blockchain technology and cryptocurrency regulations. He has also served as an adjunct professor of law at the Chapman University Dale E. Fowler School of Law, where he taught foundational transactional skills.</p>

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Chris Brummer
Law Professor
Georgetown University Law Center

Chris Brummer is Agnes N. Williams Research Professor and Faculty Director of Georgetown's Institute of International Economic Law. Prior to joining Georgetown's faculty with tenure in 2009, Brummer was an assistant professor of law at Vanderbilt Law School. He has also taught at several leading universities as a visiting professor including the universities of Basel, Heidelberg, and the London School of Economics.

Professor Brummer recently concluded a three year term as a member of the National Adjudicatory Council of FINRA, an organization empowered by Congress to regulate the securities industry, where his work was praised as making a significant contribution to advancing investor protection.

Professor Brummer was nominated twice by President Obama in 2016 and 2017 to serve as a Commissioner on the Commodity Futures Trading Commission. He received unanimous approval in the vote by the Senate Agriculture Committee, though no floor vote was immediately scheduled after the election. The nomination was withdrawn by President Trump.




Dr. Brummer lectures widely on finance and global governance, as well as on public and private international law, market microstructure and international trade. He is the author of several books, most recently Fintech Law in a Nutshell (2019). His current research examines how China's internationalization of its currency is producing novel systemic risks for the global financial system.

Chris Brummer earned his J.D. from Columbia Law School, where he graduated with honors, and he holds a Ph.D. in Germanic Studies from the University of Chicago. Before becoming a professor, he practiced law in the New York and London offices of Cravath, Swaine & Moore LLP. He has also served as a Senior Fellow at the Milken Institute and was awarded the C. Boyden Gray Fellowship for Global Finance and Growth at the Atlantic Council, where he launched the think tank's Transatlantic Finance Initiative.

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	<p>Tung Chan Securities Commissioner Colorado Division of Securities</p> <p>Recently, the Division of Securities welcomed a new Commissioner, Tung Chan. Commissioner Chan brings a wealth of knowledge, experience, and legal expertise. She began her practice as a securities and corporate attorney with an international law firm in New York City. In private practice, she has advised private and public Fortune 100 companies in securities, finance, corporate governance, regulatory, and international matters. She also served as Securities Commissioner for the State of Hawaii from 2006-2015. Recently, Commissioner Chan has been general counsel for blockchain startups including the Ethereum Foundation at the launch of its genesis block. Commissioner Chan is a graduate of Dartmouth College and the University of Michigan Law School and clerked for the U.S. Court of Appeals for the 10th Circuit.</p>
	<p>Gary DeWaal Special Counsel and Chair, Financial Markets and Regulation Katten</p> <p>Gary DeWaal brings substantial experience from both industry and government to his practice counseling clients on exchange-traded derivatives and cryptoassets. He advises a worldwide client base on transactional and regulatory matters relating to those and other complex financial products. Gary's clients benefit from his deep well of contacts and practical knowledge from his prior work with the world's largest exchange-traded derivatives broker and, before that, as a senior trial attorney with the Division of Enforcement at the US Commodity Futures Trading Commission (CFTC).</p>
	<p>Jason Gottlieb Partner & Chair, White Collar and Regulatory Enforcement Morrison Cohen, LLP</p> <p>Jason defends companies and individuals in investigations and enforcement actions conducted by governmental or self-regulatory agencies, including the SEC, CFTC, Department of Justice, New York Attorney General's Office, FINRA, ICE, CME, NYMEX, Arca, state attorney generals and securities regulators, and others.</p> <p>Jason is the principal author of the MoCo Cryptocurrency Litigation Tracker, and was named to the National Law Journal's inaugural list of Cryptocurrency, Blockchain and Fintech Trailblazers. Jason regularly advises U.S. and foreign companies on blockchain and cryptocurrency law relating to litigation and regulatory risk issues, and has been widely published and quoted in the media for his cryptocurrency and blockchain</p>



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	<p>expertise, including by CNN, CNBC, Bloomberg Law, Fortune, the National Law Journal, The New York Law Journal, Law360, The Real Deal, and many more.</p> <p>In each regulatory enforcement matter, Jason brings a practical, business-friendly approach to protecting his client’s economic and reputational interests. When necessary, Jason is fully ready to litigate, and has done so – for example, winning dismissal of an SEC case in federal district court, a victory later sustained by the Supreme Court of the United States.</p>
	<p>Salvatore Montemarano Division of Examinations U.S. Securities and Exchange Commission</p> <p>Prior to joining the Commission, Mr. Montemarano was the Chief Information Security Officer for the Overseas Private Investment Corporation (OPIC). Mr. Montemarano has worked in the information technology field for over 20 years, 12 years focused on cybersecurity. Mr. Montemarano has a degree from George Mason University and a Masters in Information Security from the University of Maryland University College.</p>
	<p>Catherine Rudow Vice President of Cyber Insurance Nationwide</p> <p>Catherine Rudow has over 25 years’ experience in the (re)insurance sector, she is responsible for developing and expanding the Cyber product expertise across Nationwide.</p> <p>Prior to her current role, Catherine served at PartnerRe overseeing underwriting for and managing PartnerRe’s North American cyber insurance portfolio. As an expert in cyber insurance risk, she speaks frequently at national and international conferences on a broad range of cyber topics. Her background also includes Tech E&O, professional liability and casualty lines of business.</p> <p>Catherine has a Bachelor of Science and Bachelor of Commerce from Concordia University, Montreal and earned her Master of Business Administration at Yale University.</p>

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	<p>Dan Sanderson Vice President of Strategy CyberAdvisor</p> <p>Dan has served as the VP of Strategy at Cyber Advisors since 2012. Having a natural entrepreneurial soul, Dan serves his team with leadership, guidance, and strategy in conjunction with Cyber Advisors culture. Working closely with the entire executive team, his responsibilities include evangelizing company vision and increasing company visibility. In addition, Dan leads the marketing strategy at Cyber Advisors and works closely with CEO Shane Vinup on innovation. Dan is well-connected in the technology landscape, performing many interviews with publications such as CRN and Gartner . Dan has also carved out an enterprise security practice at Cyber Advisors and forged strategic initiatives to produce year after year growth.</p> <p>Dan Currently holds a board position at a Minnesota based cyber security organization as well as other businesses in the financial services and energy markets.</p> <p>Prior to Cyber Advisors, Dan held various executive level positions at other information technology services organizations leading technical and sales teams.</p>
	<p>Matthew Vatter Assistant Commissioner of Enforcement Minnesota Department of Commerce</p> <p>Matt Vatter serves as Assistant Commissioner for the Enforcement Division. In this role, he oversees all of the Department’s enforcement functions including registration, examination, administrative and criminal law enforcement activities of Commerce Fraud Bureau, Securities and Enforcement Sections.</p> <p>Vatter joined the Commerce Department in April of 2019 after serving as the Chief Operations Officer for a local, veteran-owned cyber security company and a 30 plus year military career. Matt served in numerous leadership positions with the Guard, finishing his career as the Director of Strategic Plans and Policy where he led long-term, strategic planning for the Minnesota National Guard. He has demonstrated experience in complex problem solving and interagency operations as well as business experience in cyber security programs and policy.</p> <p>Vatter graduated from the University of Wisconsin – Madison with a B.S. in Secondary Education, a leadership certificate from the John F. Kennedy School of Government at Harvard University, a Masters of Science in Strategic Studies from the US Army War College and a Masters of Science in Security Technologies from the University of Minnesota.</p>