

NASAA 2021 FinTech and Cybersecurity Symposium

Instructions for Self-Reporting CE

Introduction:

The North American Securities Administrators Association (NASAA), a 501(c)(3) corporation represents state and provincial securities regulators in the United States, Canada and Mexico. This NASAA Learning session is provided as online professional self-development and NASAA does not guarantee the session will receive approval for credit by any jurisdiction.

How to Self-Report CE:

Participants must self-file for continuing education credit with the local authority which records individual activities and tracks the continuing education. This could be continuing education for accountants, attorneys, examiners and law enforcement.

[Continuing Legal Education Information](#)

[Continuing Accounting Education Information](#)

Can I Watch this Virtual Event with a Group of People and Still get CLE?:

No, you will need to watch this session while logged-in to your account on NASAA Learning. This will enable us to track and record your progress and verify that you've completed all the necessary steps so that you can print your certificate.

Self-Filing for Continuing Education:

Participants can self-file after completing 40 minutes of the session. To receive your Certificate of Attendance, you must go back into the session and select the components tab, and correctly entering the verification code, and print the Certificate of Attendance.

What To Send When I Self-Report:

When filing for your credits with your state authority, you must include:

- A copy of your Certificate of Attendance
- Your signed Personal Affidavit of Attendance
- Agenda for the sessions
- Speaker Bio.

NASAA 2021 FinTech and Cybersecurity Symposium

Personal Affidavit of Attendance
Date: December 14, 2021

Application for Accreditation of Continuing Education Activity

This form may only be used by an individual applying for credit. Complete this page and return it with your application. You should also include a copy of the certificate of attendance, agenda, and speaker bios.

Personal Affidavit of Attendance

I affirm, under the penalties of perjury, the representations on my application for accreditation for the following session are true, that I attended the session and claim I am entitled to the following credits:

Event Name: NASAA 2021 FinTech and Cybersecurity Symposium

Sponsor Name: North American Securities Administrators Association, Inc.

Sessions Date: December 14, 2021

12:10 p.m. – 12:30 p.m. **Session 1: Keynote Address**

From _____ To _____

12:40 p.m. – 1:55 p.m. **Session 2: The Future of Decentralized Finance (DeFi): What if there is no center to hold?**

From _____ To _____

2:05 p.m. – 3:20 p.m. **Session 3: Regulation Along the Continuum: Self-serving or Self-defeating?**

From _____ To _____

Total Minutes I attended out of 170 minutes _____

of Non-Legal minutes I attended _____

of Ethics minutes I attended _____

of minutes I presented _____

of minutes I prepared materials _____

Signature _____

Date _____

Printed Name _____

Attorney Number _____